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US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	Unknown 10/804,288
Filing Date	Even Date Herewith 03/18/2004
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown 2813
Examiner Name	Unknown

Sheet 1 of 3

Attorney Docket No: 279.339US3

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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EXAMINER

Stephen W. Smoot

DATE CONSIDERED August 19, 2005

Substitute Disclosure Statement Form (PTO-1449)

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First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown 2813
Examiner Name	Unknown

Sheet 2 of 3

Attorney Docket No: 279.339US3

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EXAMINER

Stephen W. Smoot

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Substitute Disclosure Statement Form (PTO-1448)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) ? Applicant is to place a check mark here if English language Translation is attached

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STATEMENT BY APPLICANT**

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Complete if Known

Application Number	Unknown- 10/804,288
Filing Date	Even Date Herewith 03/18/2004
First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown- 2813
Examiner Name	Unknown

Sheet 3 of 3

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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume+issue number(s), publisher, city and/or country where published.	T ⁴
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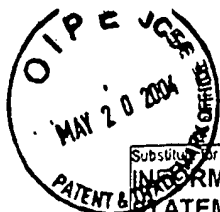
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Substitute Disclosure Statement Form (PTO-1446)

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Application Number	10/804,288
Filing Date	March 18, 2004
First Named Inventor	Schmidt, Brian
Group Art Unit	Unknown - 2813
Examiner Name	Unknown

Sheet 1 of 2

Attorney Docket No: 279.339US3

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
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EXAMINER

Stephen W. Smoot

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Substitute Disclosure Statement Form (PTO-1449)

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Application Number	10/804,288
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First Named Inventor	Schmidt, Brian
Group Art Unit	Unknown- 2813
Examiner Name	Unknown

Sheet 2 of 2

Attorney Docket No: 279.339US3

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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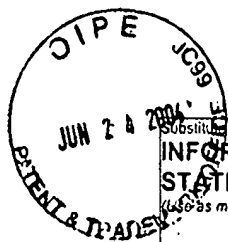
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/804,288
	Filing Date	March 18, 2004
	First Named Inventor	Schmidt, Brian
	Group Art Unit	2813
	Examiner Name	Unknown
Sheet 2 of 2		Attorney Docket No: 279.339US3

<i>S.W.S.</i>	US-5,634,938	06/03/1997	Swanson, David K., et al.	10/25/1995
<i>S.W.S.</i>	US-5,901,867	05/11/1999	Mattson, Larry J.	06/24/1997
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<i>S.W.S.</i>	US-6,324,049	11/27/2001	Inagawa, M., et al.	12/08/1997
<i>S.W.S.</i>	US-6,375,688	04/23/2002	Akami, K., et al.	09/07/1999
<i>S.W.S.</i>	US-6,409,776	06/25/2002	Yan, Jenn-Feng, et al.	06/30/2000
<i>S.W.S.</i>	US-6,451,073	09/17/2002	Farahmandi, C. J., et al.	05/16/2000
<i>S.W.S.</i>	US-6,585,152	07/01/2003	Farahmandi, C. J., et al.	02/13/2001
<i>S.W.S.</i>	US-6,628,505	09/30/2003	Andelman, M. D.	02/12/2002
<i>S.W.S.</i>	US-6,763,265	07/13/2004	O'Phelan, M. J., et al.	04/17/2003
<i>S.W.S.</i>	US-6,833,987	12/21/2004	O'Phelan, Michael J.	11/03/2000

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T*
<i>S.W.S.</i>		DOFFING, B., et al., "METHOD AND APPARATUS FOR PROVIDING FLEXIBLE PARTIALLY ETCHED CAPACITOR ELECTRODE INTERCONNECT", US Patent Application Ser. No. 10/996,903, filed November 24, 2004 (Attorney Docket No. 279.831US1), 239 Pgs.		
<i>S.W.S.</i>		O'PHELAN, MICHAEL J., "FLAT CAPACITOR HAVING AN ACTIVE CASE", Application Serial No. 10/969,441, Filed October 20, 2004, Attorney Docket No. 279.235US2, 44 pgs.		

EXAMINER *Stephen W. Smoot* DATE CONSIDERED *August 19, 2005*

Substitute Disclosure Statement Form (PTO-1449)

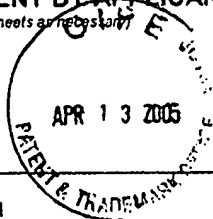
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/804,288
Filing Date	March 18, 2004
First Named Inventor	Schmidt, Brian
Group Art Unit	2813
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 279.339US3

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
SNL	US-2005/0052825 A1	03/10/2005	O'Phelan, M. J.	10/20/2004
SNL	US-3,150,301	09/22/1964	Schils, A. L., et al.	12/07/1961
SNL	US-6,556,863	04/29/2003	O'Phelan, Michael J., et al.	10/02/1998

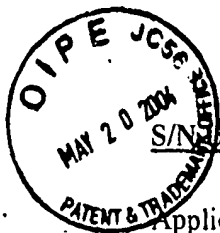
EXAMINER

Stephen W. Smoot

DATE CONSIDERED August 19, 2005

Substitute Disclosure Statement Form (PTO-1448)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) ? Applicant is to place a check mark here if English language Translation is attached



Received: 5-20-04

S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian L. Schmidt et al. Examiner: Unknown
Serial No.: 10/804,288 Group Art Unit: ~~Unknown~~ 2813
Filed: March 18, 2004 Docket: 279.339US3
Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A
FLAT CAPACITOR

COMMUNICATION CONCERNING RELATED APPLICATIONS

Commissioner for Patents
Mail Stop: Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
09/706,515 6,684,102	November 3, 2000	279.088US1	IMPLANTABLE HEART MONITORS HAVING CAPACITORS WITH ENDCAP HEADERS
09/706,518 6,687,118	November 3, 2000	279.168US1	FLAT CAPACITOR HAVING STAKED FOILS AND EDGE-CONNECTED CONNECTION MEMBERS
09/705,994 6,571,126	November 3, 2000	279.169US1	METHOD OF CONSTRUCTING A CAPACITOR STACK FOR A FLAT CAPACITOR
09/705,976 6,522,525	November 3, 2000	279.171US1	IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES
09/706,447 6,699,265	November 3, 2000	279.234US1	FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE
09/706,517	November 3, 2000	279.235US1	FLAT CAPACITOR HAVING AN ACTIVE CASE

All
Considered
S.W.S.
8-19-05

Received: 5-20-04

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/804,288

Filing Date: March 18, 2004

Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR

Page 2

Dkt: 279.339US3

09/706,576	November 3, 2000	279.268US1	CONFIGURATIONS AND METHODS FOR MAKING CAPACITOR CONNECTIONS
09/706,579	November 3, 2000	279.271US1	CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER
09/706,519 6,509,588	November 3, 2000	279.339US1	METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR
10/360,551	February 7, 2003	279.378US1	BATTERIES INCLUDING FLAT PLATE DESIGN
10/287,285 6,674,634	November 4, 2002	279.171US2	IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES
10/758,677	January 15, 2004	279.234US3	FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE
10/418,616 2003/0195568	April 17, 2003	279.169US2	METHOD OF CONSTRUCTING A CAPACITOR STACK FOR A FLAT CAPACITOR
10/728,655	December 5, 2003	279.168US2	FLAT CAPACITOR HAVING STAKED FOILS AND EDGE-CONNECTED CONNECTION MEMBERS
10/736,209	December 15, 2003	279.088US2	IMPLANTABLE HEART MONITORS HAVING CAPACITORS WITH ENDCAP HEADERS
10/729,424	December 5, 2003	279.171US3	IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES
10/758,701	January 15, 2004	279.234US4	FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE

All
Considered
S.W.S.
8-19-05

S/N 10/804,288

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian L. Schmidt et al. Examiner: Unknown
Serial No.: 10/804,288 Group Art Unit: 2813
Filed: March 18, 2004 Docket: 279.339US3
Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A
FLAT CAPACITOR

COMMUNICATION CONCERNING RELATED APPLICATION

Commissioner for Patents
MS: Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related application in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
10/846,805	May 14, 2004	279.271US2	CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER

Respectfully submitted,

BRIAN L. SCHMIDT ET AL.

By Applicants' Representatives,

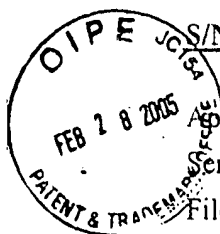
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 359-3267

Date 6/22/04 By Peter C Maki
Peter C. Maki
Reg. No. 42,832

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Name

Signature



S/N 10/804,288

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian L. Schmidt et al.

Examiner: Unknown

Serial No.: 10/804,288

Group Art Unit: 2813

Filed: March 18, 2004

Docket: 279.339US3

Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A
FLAT CAPACITORCOMMUNICATION CONCERNING RELATED APPLICATIONSCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450Applicants would like to bring to the Examiner's attention the following related
applications in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
10/299,234 6,709,946 2003/0072124	November 19, 2002	279.339US2	METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR
10/413,680 2004/0019268	April 15, 2003	279.268US2	CONFIGURATIONS AND METHODS FOR MAKING CAPACITOR CONNECTIONS
10/874,798 2005/0017888	June 23, 2004	279.823US1	METHOD AND APPARATUS FOR PROVIDING FLEXIBLE PARTIALLY ETCHED CAPACITOR ELECTRODE INTERCONNECT
10/882,144 2005/0010253	June 30, 2004	279.169US3	METHOD OF CONSTRUCTING A CAPACITOR STACK FOR A FLAT CAPACITOR
10/969,441	October 20, 2004	279.235US2	FLAT CAPACITOR HAVING AN ACTIVE CASE

All
Considered
S.W.B.
8-19-05

Received: 2-28-2005

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/804,288

Filing Date: March 18, 2004

Title: METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR

Page 2

Dkt: 279.339US3

10/996,903

November
24, 2004

279.831US1

METHOD AND APPARATUS FOR
PROVIDING FLEXIBLE PARTIALLY
ETCHED CAPACITOR ELECTRODE

Respectfully submitted,

BRIAN L. SCHMIDT ET AL.


By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 359-3267

Date

2/24/05

By



Peter C. Maki

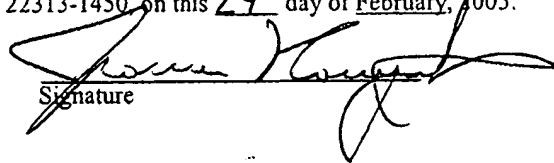
Reg. No. 42,832

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Name


JAMES KANYOSIK

Signature



Considered
L.W.S.
8-19-05